



ZFW 3731

## PATENT

Case Docket No. LOMAU.138A

Date: August 24, 2004

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In re application of : Yong Hua Zhu, et al.  
App. No. : 09/921,158  
Filed : August 1, 2001  
For : METHOD AND APPARATUS FOR  
CLOSING VASCULAR PUNCTURE  
USING HEMOSTATIC MATERIAL  
Examiner : P.A. Roberts  
Art Unit : 3731

## CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 24, 2004

(Date)

Glen L. Nuttal, Reg. No. 46,188

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

- (X) An Information Disclosure Statement.  
(X) Form PTO-1449 listing ~~ECG~~ (10) references.

The fee has been calculated as shown below:

## CLAIMS AS FILED

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims 48 — 51	= × \$9	= \$0		
Independent Claims 4 — 6	= × \$43	= \$0		
If application has been amended to contain multiple dependent claim(s), then add		\$145		= \$0

TOTAL ADDITIONAL FEE  
FOR THIS AMENDMENT

\$0

- (X) A Check in the amount of \$180 for Information Disclosure Statement.  
(X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.

**PATENT**

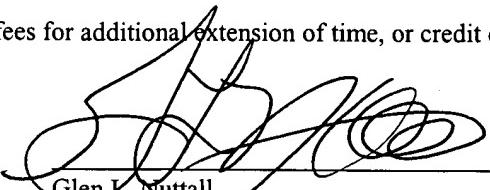
Case Docket No. LOMAU.138A

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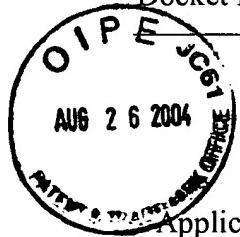
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- (X) Return prepaid postcard.
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Glen L. Nuttall  
Registration No. 46,188  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

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**INFORMATION DISCLOSURE STATEMENT**

Applicant	:	Yong Hua Zhu et al.
App. No.	:	09/921,158
Filed	:	August 1, 2001
For	:	METHOD AND APPARATUS FOR CLOSING VASCULAR PUNCTURE USING HEMOSTATIC MATERIAL
Examiner	:	P.A. Roberts
Group Art Unit	:	3731

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 10 references that are also enclosed.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(c)(2) before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By:



Glen L. Nuttall

Registration No. 46,188

Attorney of Record

Customer No. 20,995

(949) 760-0404

Dated: 8/24/04

 FORM PTO-14-9 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>AUG 26 2004</b> INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. LOMAU.138A	APPLICATION NO. 09/921,158
	APPLICANT Yong Hua Zhu et al.	
	FILING DATE August 1, 2001	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
1	5,423,814	06/13/95	Zhu et al.			
2	5,443,484	08/22/95	Kirsch et al.			
3	6,004,341	12/21/99	Zhu et al.			
4	6,425,901 B1	07/30/02	Zhu et al.			
5	6,508,828 B1	01/21/03	Akerfeldt et al.			
6	6,524,326 B1	02/25/03	Zhu et al.			
7	US 2001/0018598 A1	08/30/01	Cruise et al.			
8	US 2003/0167050 A1	09/04/03	Prosl et al.			
9						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
10	EP 0 788 769 A1	08/13/97	Europe				
11	WO 01 34238 A1	05/17/01	PCT				
12							
13							
14							
15							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
16		
17		
18		
19		
20		

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081204

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	